Client Server Model 100

Client 101 ——Request——▶ Server 102

——Reply——

Kernel   Kernel

Network 104

Fig. 1 - The client-server model PRIOR ART

Two-Tier Application Architecture 200

Network Printer   Client   Server 202

Network 204

Client   Client

201

Fig. 2 PRIOR ART

Three-Tier Application Architecture 300

Network Printer   Application Server 309   Database Server 310

Network 304

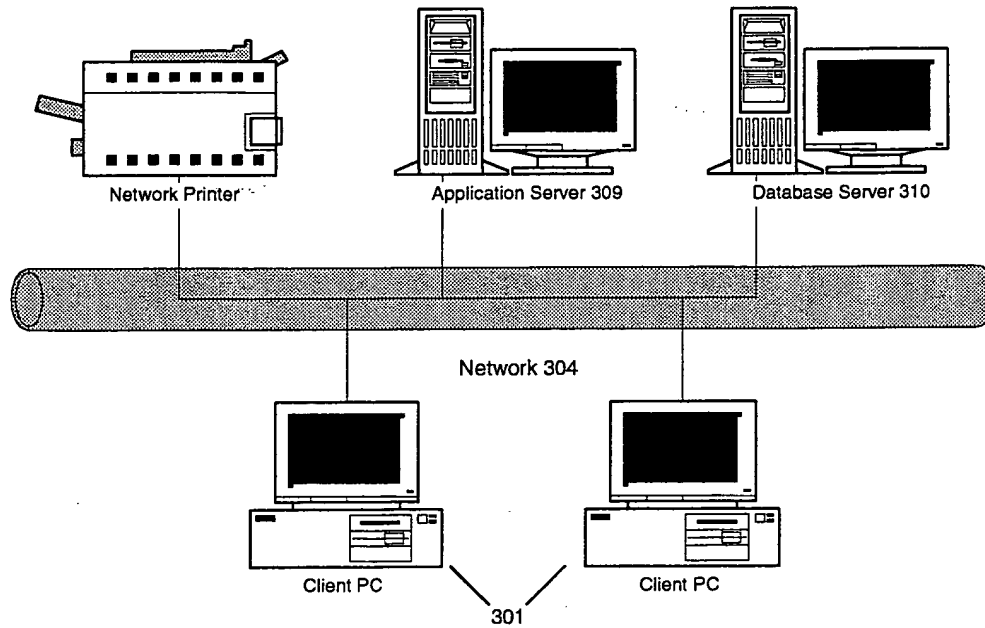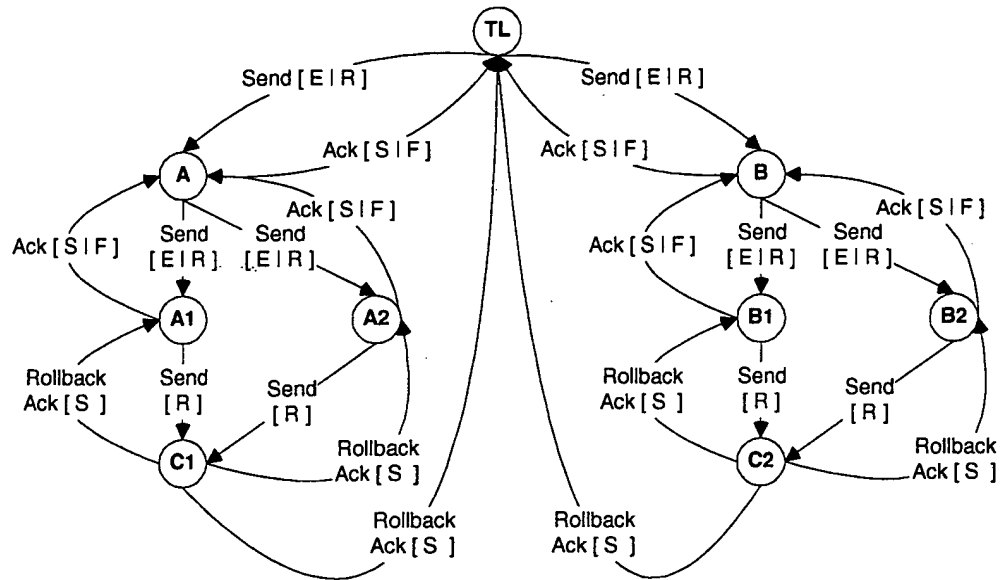Client PC   301   Client PC
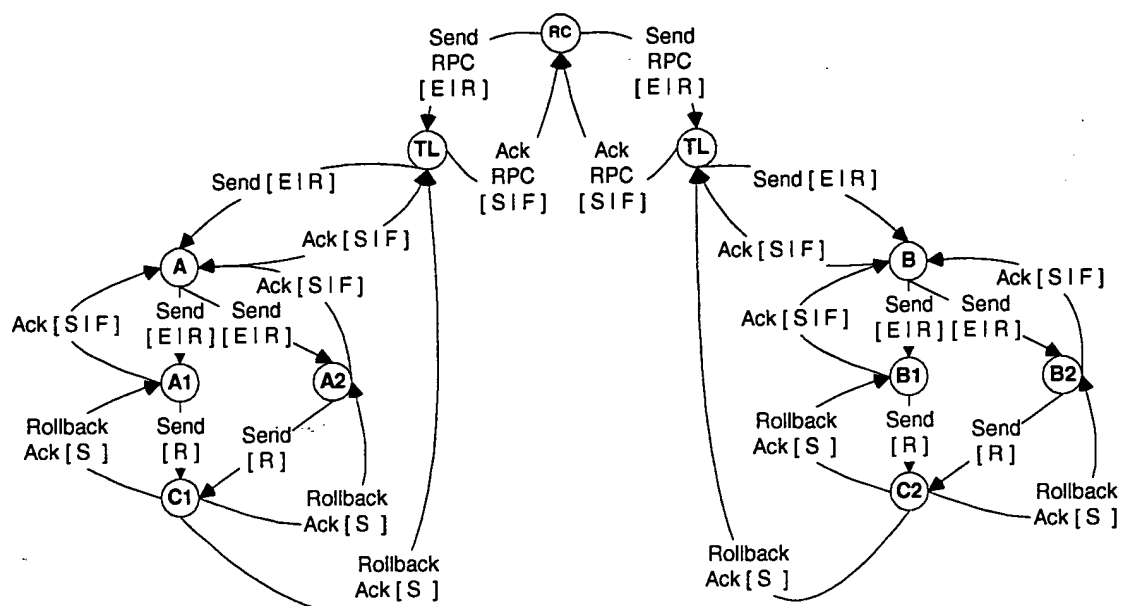
Fig. 3 PRIOR ART

Ack [S I F] = Acknowledgement [Success I Failure]

Rollback Ack [S I F] = Rollback Acknowledgement [Success]

Send [E I R] = Send [Execute I Rollback]

Send [R] = Send [Rollback]

Fig. 4

Ack [S I F]　=　Acknowledgement [Success I Failure]

Rollback Ack [S I F]　=　Rollback Acknowledgement [Success]

Ack RPC [S I F]　=　Acknowledgement RPC [Success I Failure]

Send [E I R]　=　Send [Execute I Rollback]

Send [R]　=　Send [Rollback]

Send RPC [E I R]　=　Send RPC [Execute I Rollback]
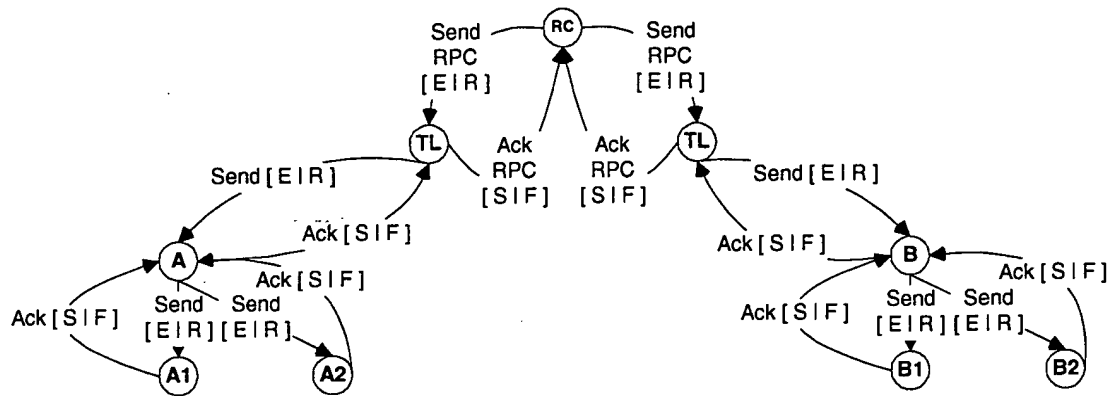
Fig. 5

Ack [S I F] = Acknowledgement [Success I Failure]

Ack RPC [S I F] = Acknowledgement RPC [Success I Failure]

Send [E I R] = Send [Execute I Rollback]

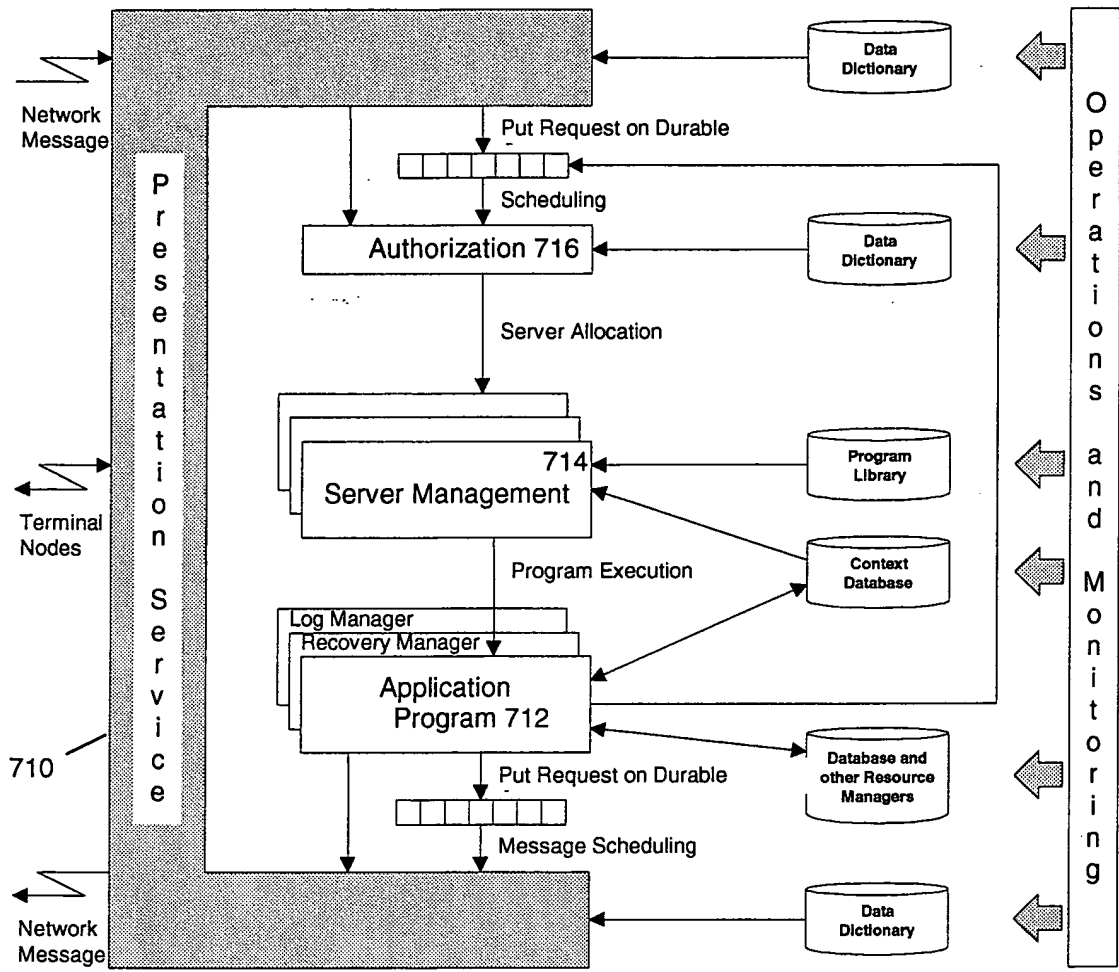Send RPC [E I R] = Send RPC [Execute I Rollback]

Fig. 6

Fig. 7 PRIOR ART
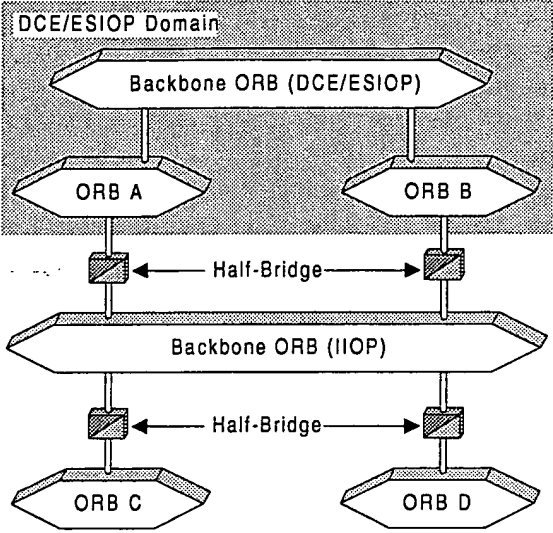
Fig. 8 PRIOR ART
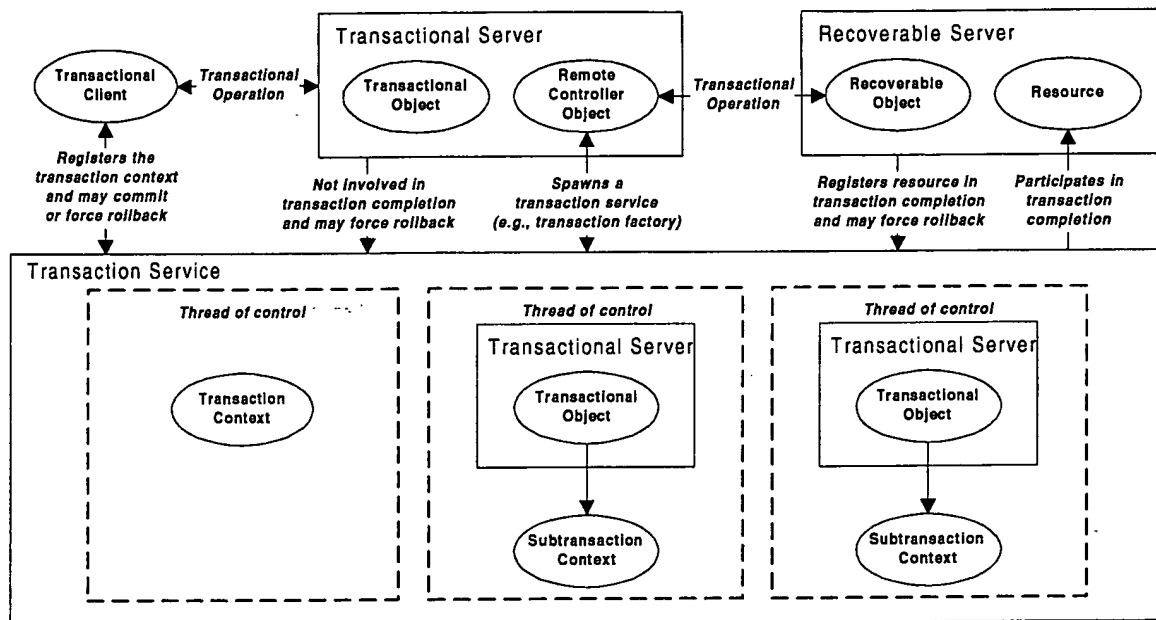
Fig. 9

Fig. 10 PRIOR ART

Fig. 11 PRIOR ART

	Batch Processing	Time-Sharing Processing	Realtime Processing	Client-Server	Transaction-Oriented Processing
Data	Private	Private	Private	Shared	Shared
Duration	Long	Long	Very Short	Long	Short
Guarantees of Reliability	Normal	Normal	Very High	Normal	Very High
Guarantees of Consistency	None	None	None	None (?)	ACID
Work Pattern	Regular	Regular	Random	Random	Random
Number of Work Sources or Destinations	10	100	1000	100	10000
Services Provided	Virtual Processor	Virtual Processor	Simple Function	Simple Request	Simple or Complex Request
Performance Criteria	Throughput	Response Time	Response Time	Throughput & Response Time	Throughput & Response Time
Availability	Normal	Normal	High	High	High
Unit of Authorization	Job	User	None(?)	Request	Request

Fig. 12 PRIOR ART

Decision Making System Boundary 1310

Environment

| Weather conditions | Customers | Government |

Inputs
Raw materials
Costs
Resources

Processes
Procedures
Programs
Tools
Activities
Decisions

Outputs
Performances
Consequences
Finished products
Services delivered

Decision
maker

FEEDBACK

| Vendors | Stockholders | Banks | Competitors |

System boundary 1310
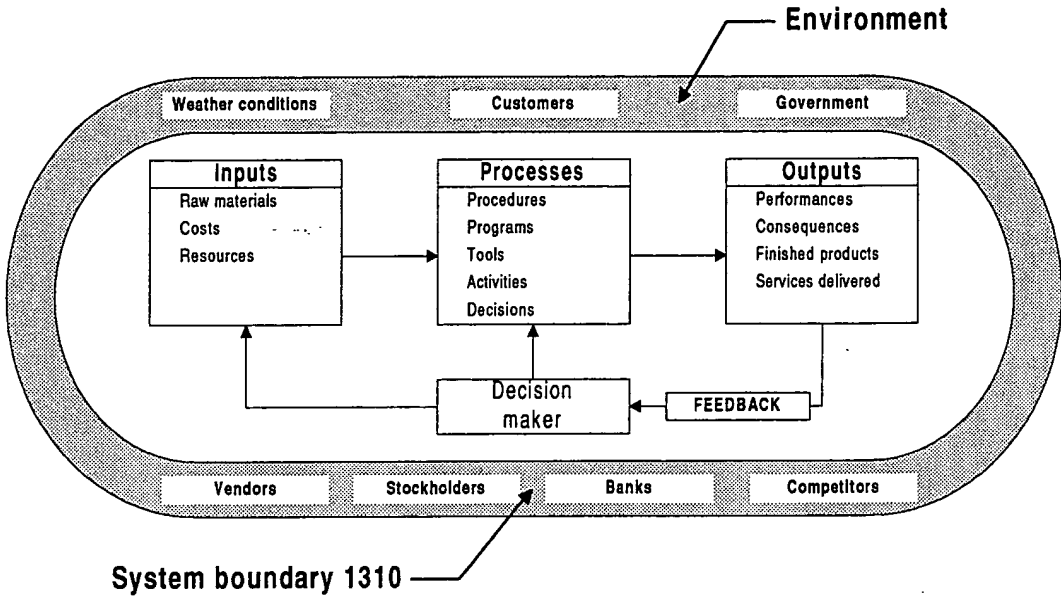
Fig. 13 PRIOR ART
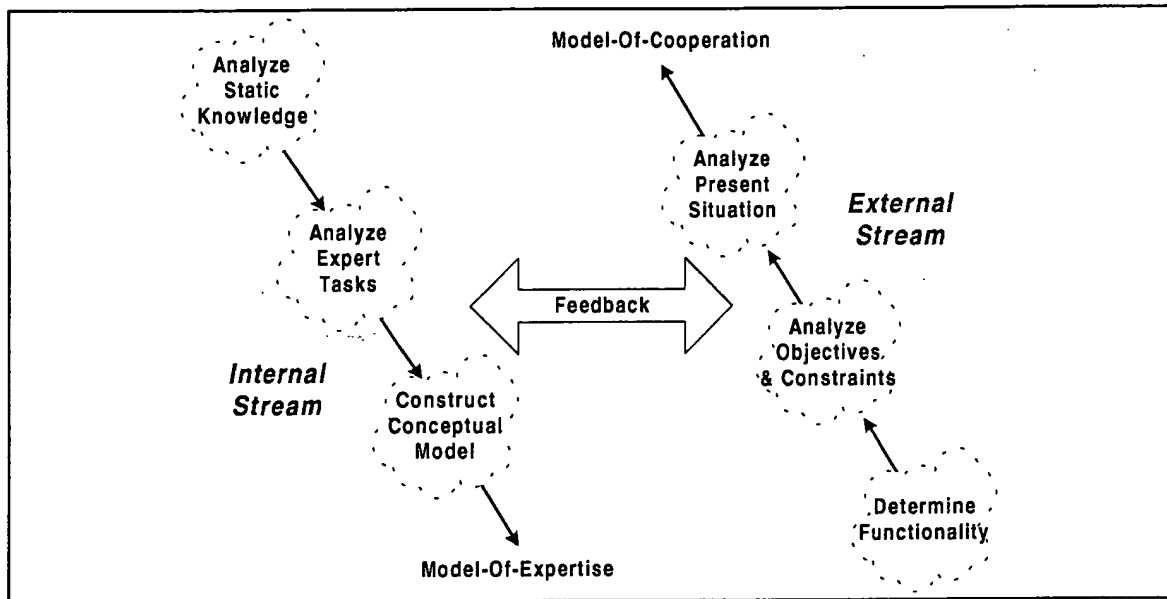
Fig. 14 PRIOR ART
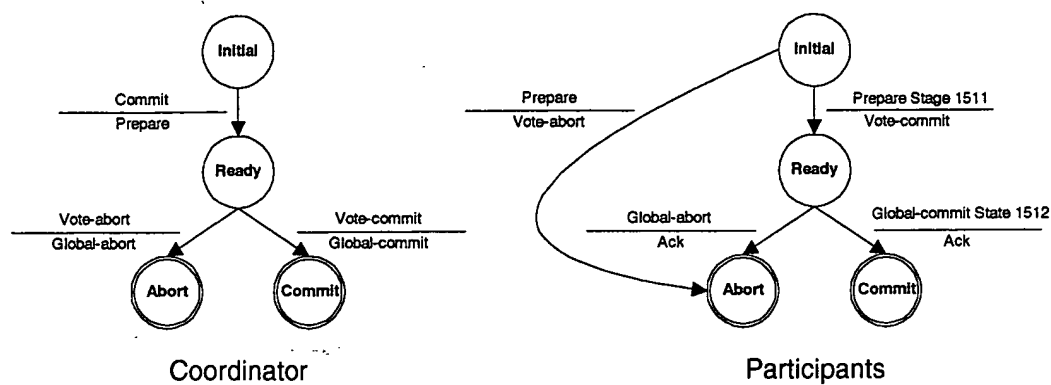
Coordinator

Participants

Fig. 15 PRIOR ART

Initial

Commit
Prepare

Wait

Vote-abort
Global-abort

Vote-commit
Prepare-to-commit

Abort

Pre-
Commit

Ready-to-commit
Global-commit

Commit

Coordinator

Initial

Prepare
Vote-abort

Prepare Stage 1611
Vote-commit

Wait

Global-abort
Ack

Prepare-to-commit Stage 1620
Ready-to-commit

Abort

Pre-
Commit

Global-commit Stage 1630
Ack

Commit

Participants

Fig. 16 PRIOR ART

OSI Model 1710

TCP/IP Model 1720
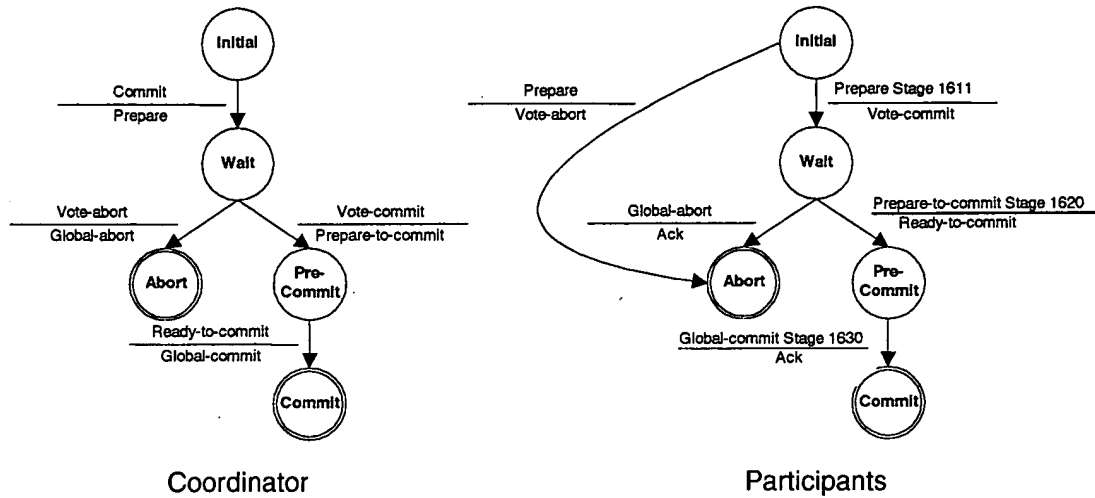
| Application |
| Presentation |
| Session |
| Transport |
| Network |
| Data Link |
| Physical |

Applications	
TCP	UPD
IP	
Physical Protocols, such as Ethernet or Tolen-Ring	

Fig. 17 PRIOR ART

Web Browser Client	Web Server		Application Server	
	ORB	OTS	ORB	OTS

Initial

commit(ack)

connect(ack)

connect(ack)

commit(ack)

commit(ack)

prepare(vote)

commit(ack)

prepare(vote)

commit(ack)

prepare(ack)

connect(prepare)

commit(ready)

connect(ack)

commit(ack)

prepare(vote)

commit(ack)

prepare(vote)

commit(ack)

prepare(ack)

prepare(ack)

prepare(ack)

prepare(ack)

Ready

connect(ack)

vote-commit(ack)

connect(ack)

commit(ack)

global(vote)

vote-commit(ack)

global(vote)

vote-commit(ack)

vote-commit(ack)

connect(global)

vote-commit(ack)

connect(ack)

vote-commit(ack)

global(vote)

vote-commit(ack)

global(vote)

vote-commit(ack)

vote-commit(ack)

vote-commit(ack)

vote-commit(ack)

vote-commit(ack)

vote-commit(ack)

vote-commit(ack)

Commit

vote-commit(ack)

Fig. 18A

Coordinator

Participants

Orthogonal State Transitions 1850

Fig. 18B

```
METHOD
------
MEMBER PROCEDURE INITIAL_TRANSACTION
Argument Name                      Type                      In/Out
-------------------------------    ----------------------    -----
TRANSACTION_ID                     NUMBER                    IN
TRANSACTION_PARENT_ID              NUMBER                    IN
TRANSACTION_SOURCE                 VARCHAR2                  IN
TRANSACTION_DESTINATION            DESTINATION               IN
TRANSACTION_TIME_STAMP             DATE                      IN
TRANSACTION_QUANTUM                NUMBER                    IN
TRANSACTION_TYPE                   VARCHAR2                  IN
TRANSACTION_STATUS                 VARCHAR2                  IN/OUT
TRANSACTION_NAME                   VARCHAR2                  IN
DML_ACTION                         VARCHAR2                  IN
DML_ATTRIBUTES                     ATTRIBUTE                 IN
OBJ_NAME                           VARCHAR2                  IN
OBJ_ATTRIBUTES                     ATTRIBUTE                 IN
WHERE_CLAUSE                       ATTRIBUTE                 IN
```
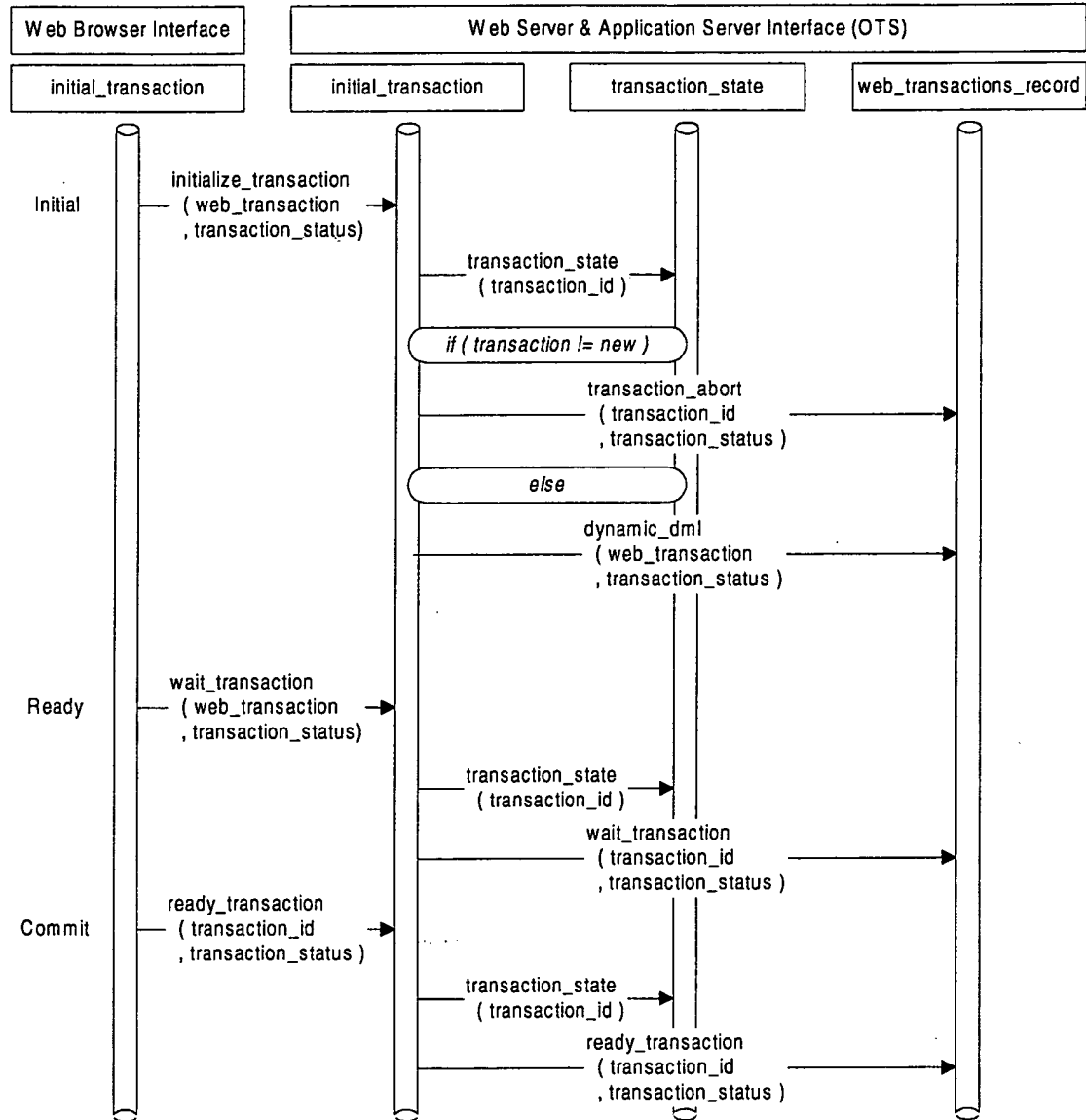
Fig. 19

Web Browser Interface	Web Server & Application Server Interface (OTS)		
initial_transaction	initial_transaction	transaction_state	web_transactions_record

Initial   initialize_transaction (web_transaction , transaction_status)

transaction_state (transaction_id)

if (transaction != new)

transaction_abort (transaction_id , transaction_status)

else

dynamic_dml (web_transaction , transaction_status)

Ready   wait_transaction (web_transaction , transaction_status)

transaction_state (transaction_id)

wait_transaction (transaction_id , transaction_status)

Commit   ready_transaction (transaction_id , transaction_status)

transaction_state (transaction_id)

ready_transaction (transaction_id , transaction_status)

Fig. 20

```
METHOD
------
MEMBER PROCEDURE INITIAL_TRANSACTION
Argument Name                   Type                    In/Out
---------------------------     --------------------    -----
TRANSACTION_ID                  NUMBER                  IN
TRANSACTION_STATUS              VARCHAR2                IN/OUT
TRANSACTION_SOURCE              VARCHAR2                IN
TRANSACTION_DETAIL              TRANSACTION             IN
```

Fig. 21

```
OBJECT TYPE (TRANSACTION)
----------------------------
Argument Name                    Type                        In/Out
----------------------------     ----------------------      -----
TRANSACTION_ID                   NUMBER                      IN
TRANSACTION_PARENT_ID            NUMBER                      IN
TRANSACTION_SOURCE               VARCHAR2                    IN
TRANSACTION_DESTINATION          DESTINATION                 IN
TRANSACTION_TIME_STAMP           DATE                        IN
TRANSACTION_QUANTUM              NUMBER                      IN
TRANSACTION_TYPE                 VARCHAR2                    IN
TRANSACTION_STATUS               VARCHAR2                    IN/OUT
TRANSACTION_NAME                 VARCHAR2                    IN
DML_ACTION                       VARCHAR2                    IN
DML_ATTRIBUTES                   ATTRIBUTE                   IN
OBJ_NAME                         VARCHAR2                    IN
OBJ_ATTRIBUTES                   ATTRIBUTE                   IN
WHERE_CLAUSE                     ATTRIBUTE                   IN
```

Fig. 22

Number of Components Involved			Number of Messages			
Web Browser	Web Server	Application Server	Initial	Ready	Commit	Total Messages
1	1	1	23	23	23	69
1	1	2	34	34	34	102
1	1	3	45	45	45	135
1	1	4	56	56	56	168
1	1	5	67	67	67	201

Fig. 23

Expository ACID Compliant Transaction Archetecture 2400

Web Client 2410

Web Browser 2412

Application Servers 2470

Application Server 2471

Database 2472

Web Transaction Object 2476

Web Transaction Records 2478

Internet 2490

Web Server 2450

Database 2451

Web Timesetter Object 2454

Web transaction Records 2456

DAI Monitoring Tools 2460

Transit Agent 2461

Process Time Agent 2463

Active Simulation Agent 2465

Simulation Engine 2467

Fig. 24

Coordinator

Participants

Orthogonal State Transitions 2500

Fig. 25A

Fig. 25B

Orthogonal State Transitions 2510

Coordinator   Participants

Fig. 25C

Orthogonal State Transitions 2520

Coordinator   Participants

Fig. 26

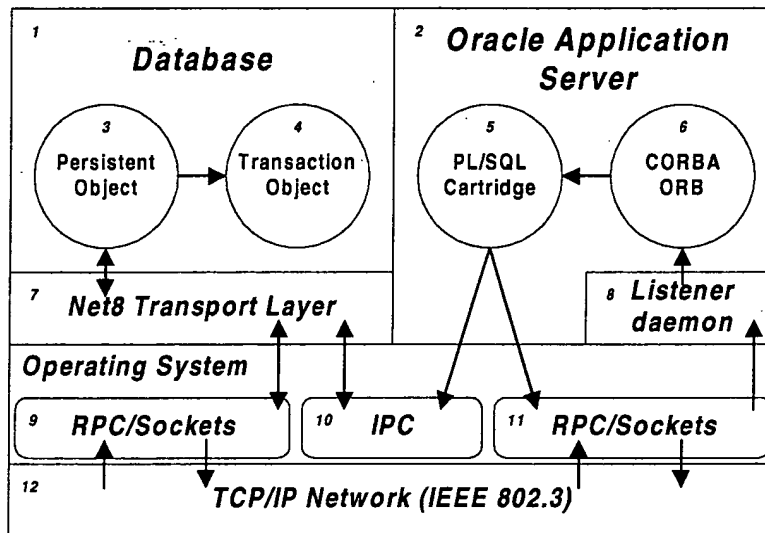*Asynchronous Transaction Object Management System Architecture Diagram 2600*

Fig. 27

Asynchronous Transaction Object Management System operating system architecture diagram 2700
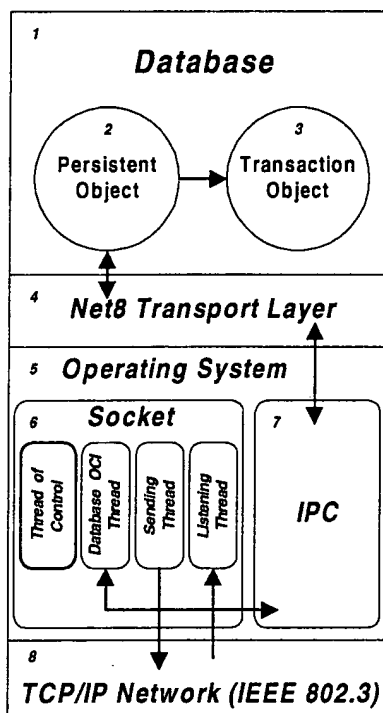
FIG. 28